

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/820,053

Filing Date

March 28, 2001

First Named Inventor

Donald R. Owen

Group Art Unit

1646

Examiner Name

Marcus Kirk

Total Number of Pages in This Submission

Attorney Docket Number

11181.0027.NPUS00

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
PTO-Form 1449 w/cited references;  
Postcard

Remarks

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Christopher J. Buntel, Ph.D.; Howrey Simon Arnold & White, LLP  
Reg. No. 44,573; Customer No. 23369

Signature

Date

July 21, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231; on this date:

July 21, 2003

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Christopher J. Buntel, Ph.D.

Signature

Date

July 21, 2003



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Donald R. Owen

Serial No.: 09/820,053

Confirmation No.: 3080

Filed: March 28, 2001

For: SHORT BIOACTIVE PEPTIDES

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Group Art Unit: 1653

Examiner: Karen Cochrane Carlson

Att'y Docket: 11181.0027.NPUS00

Client Docket: HELX:027

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

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37 C.F.R. 1.8

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Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§

1.16 to 1.21 be deemed necessary for any reason relating to this document, the Commissioner is hereby authorized to deduct the fees from Howrey Simon Arnold & White, LLP Deposit Account 01-2508/05111.0027.NPUS00.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,



Christopher J. Buntel, Ph.D.  
Reg. No. 44,573  
Customer No. 23369  
ATTORNEY FOR ASSIGNEE,  
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July 21, 2003

Form PTO-1449 (modified)

Atty. Docket No.  
11181.0027.NPUS00Serial No.  
09/820,053List of Patents and Publications for Applicant's  
INFORMATION DISCLOSURE STATEMENTApplicant  
Donald R. OwenFiling Date:  
March 28, 2001Group:  
1653

(Use several sheets if necessary)

U.S. Patent Documents  
*See Page 1*Foreign Patent Documents  
*N/A*Other Art  
*See Page 3*

## U.S. Patent Documents

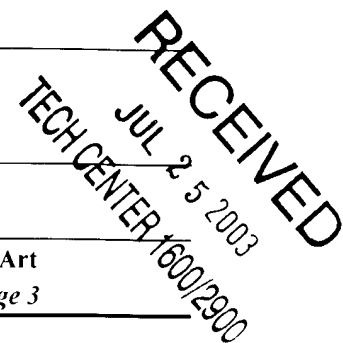
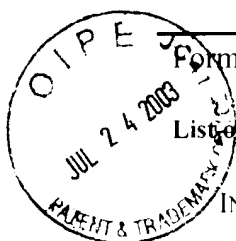
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing date of App.
	A1	6,566,334	05/20/03	McLaughlin	514	14	02/05/98
	A2						
	A3						
	A4						
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EXAMINER:

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)



Form PTO-1449 (modified)

Atty. Docket No.

11181.0027.NPUS00

Serial No.

09/820,053

List of Patents and Publications for Applicant's

Applicant

Donald R. Owen

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:

March 28, 2001

Group:

1653

U.S. Patent Documents

See Page 1

Foreign Patent Documents

N/A

Other Art

See Page 3

## Other Art (Including Author, Title, Date, Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	PCT Written Opinion dated December 13, 2002; pp. 1-5
	C2	Response to Written Opinion filed February 12, 2003; pp. 1-15
	C3	PCT Notification of Transmittal of International Preliminary Examination Report dated April 30, 2003; pp. 1-17
	C4	
	C5	
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